

FIDM Method 2 - Financial Data Specifications

Handbook for Department of Revenue

I. Account Inquiry Process

Steps

1. DOR sends “Inquiry Files” (Legal file and FIDM file) to the Financial Institution (FI). FI includes banks and credit unions.

Filename: Inquiry_YYYYMMDD.txt (Ex. Inquiry_20100107.txt)

Filename: Inquiry_FIDM_YYYYMMDD.txt (Ex. Inquiry_FIDM_20100107.txt)

Location: “FromDOR” folder within the FI’s specific folder on the SFT server

File Layout - FIDM

Record Types:

D - Header record identifying the year, month and day the file was created

I - Inquiry record, identifying the person to be located

T – Trailer record, identifying the number of Inquiry records in the file

	D Record	Size	Description	Comments/Format
001	Alpha	1	Record Type	Constant “D”
002-007	Numeric	6	Year Month and Day	CCYMMM
008	Alpha	1	Match Indicator	Constant “M”
009-099		91	Blanks	
	I Record	Size	Description	Comments/Format
001	Alpha	1	Record Type	Constant “I”
002-010	Numeric	9	Inquiry SSN or FEIN	SSN or FEIN
011-020	Numeric	10	State Pass-Back Information	DOR Data
021-040	Alpha	20	Inquiry Last Name	Last Name or Business Name
041-056	Alpha	16	Inquiry First Name	First Name or continuation of Business Name
057-071	Numeric	15	State Pass-Back Information	DOR Data
072-076	Alpha	5	FIPS Code Pass-Back Information	
077-099	Numeric	23	State Pass-Back Information	DOR Data
	T Record	Size	Description	Comments/Format
001	Alpha	1	Record Type	Constant “T”
002-011	Numeric	10	Count	“I” record count, sign trailing
012-099		88	Blanks	

- For each inquiry record, FI locates accounts and sends a single “Accounts File” back to DOR.

NOTE: If more than one account is found for an inquiry record, that record will need to be duplicated for each additional account.

Filename: Accounts_FIDM_YYYYMMDD.txt (Ex. Accounts_FIDM_20100110.txt)

Location: “ToDOR” folder within the FIs specific folder on the SFT server

File Layout

Record Types:

B – B record, identifying a located account

T – Trailer record, identifying the number of B records in the file

	B Record	Size	Description	Comments/Format
001	Alpha	1	Record Type	Constant “B”
002-007	Numeric	6	Year and month	CCYYMM Inquiry File Data
008-011	Alpha	4	Payee Last Name Control	First four characters of last name or business name
012-014		3	Blanks	
015-023	Numeric	9	SSN or FEIN	
024-043	Alpha	20	FI Reference Number	Number FI can use to ID account when it is returned for withhold
044-060		17	Blanks	
061-160	Alpha	100	Account Full Legal Title	Optional
161	Alpha	1	Matched Name Foreign Country Indicator	Optional 0 = Local 1 = Foreign
162-201	Alpha	40	Matched Name	
202-241	Alpha	40	2 nd Payee Name	
242-321		80	Blanks	
322-326	Alpha	5	FIPS Code Pass-Back Info	FIPS Code Pass-Back Info from “I” Record positions 072-076
327-349	Numeric	23	State Pass-Back Information	Pass-Back from “I” Record, positions 077-099
350-357		8	Blank	
358	Numeric	1	Match Flag	0 = unwilling/unable to complete comparison

				1 = compared & name/SSN or FEIN match 2 = compared & name did not match
359		1	Blank	
360	Numeric	1	Account Status Indicator	Possible values: 0 = open 1 = closed 2 = inactive
361-370		10	Blank	
371-380	Numeric	10	State Pass-Back Information	Pass Back From "I" Record, Positions 011 – 020
381-382	Numeric	2	Account Type	Possible Values: 00 = Not Applicable 01 = Savings Account 04 = Checking/demand deposit account 05 = Term deposit certificate 06 = Collateral Account 11 = Money market account 12 = IRA/KEOGH 14 = ERISA Plan Account 16 = Cash Balances 17 = Compound Account 18 = Other
383-397	Numeric	15	State Pass-Back	Pass-Back information from "I" Record, positions 057-071
398-400		3	Blanks	
401	Numeric	1	Payee Indicator	Possible values: 0 = if match is primary and sole account holder 1 = match is secondary holder 2 = match is primary, but not sole account holder
402-410	Numeric	9	Primary SSN/FEIN	
411-420		10	Blank	
	T Record	Size	Description	Comments/Format
001	Alpha	1	Record Type	Constant "T"
002-010	Numeric	9	Count	"B" record count, sign trailing
011-420		410	Blanks	

II. Withhold Payment Process

Steps

3. DOR sends "Withhold File" to FI

Filename: Withhold_YYYYMMDD.txt (Ex. Withhold_20100112.txt)

Location: "FromDOR" folder within the FIs specific folder on the SFT server

File Layout

Record Types:

D - Header record identifying the year, month and day the file was created

W –Withhold request record, identifying the account to pull money from

"D" Record	Size	Description	Comments/Format
Alpha	1	Record Type	Constant "D"
Numeric	8	Year Month and Day	CCYMMDD
"W" Record	Size	Description	Comments/Format
Alpha	1	Record Type	Constant "W"
Numeric	9	Withhold Request ID	DOR Data
Numeric	9	Tra Number	DOR Data
Numeric	3	Invoice Number	DOR Data
Alpha	15	Invoice Doc Source Number	DOR Data
Numeric	9	Warrant ID	DOR Data
Numeric	9	Account ID	DOR Data
Numeric	9	Inquiry Social Security Number1	000000000
Numeric	9	Inquiry Social Security Number2	000000000
Numeric	9	Inquiry Social Security Number3	000000000
Numeric	9	Inquiry Social Security Number4	000000000
Alpha	10	Inquiry Le-Fein	00-0000000
Alpha	45	Inquiry Business Name 1	
Alpha	45	Inquiry Business Name 2	
Alpha	45	Inquiry Business Name 3	
Alpha	45	Inquiry Business Name 4	
Numeric	10	Amount Owed	Amount excluding decimal. Last 2 digits are cents. Ex. 123.99 = 0000012399
Numeric	2	Account Type	01 – Asset located – Checking, Savings, or share account 02 – Asset located – Brokerage account

			03 – Asset located – Term investment such as Certificate of Deposit 04 – Asset located – Safe Deposit Box 05 – Asset located - other
Alpha	20	Payee’s Reference Number	FI identifier of account
Alpha	40	Name 1 Listed On Account Located By FI	This will remain the same per information provided by FI
Alpha	40	Name 2 Listed On Account Located By FI	This will remain the same per information provided by FI
Numeric	10	Amount Withdrawn	Default is zeros. To be filled in by FI upon withdrawal of funds. Amount excluding decimal. Last 2 digits are cents. Ex. 123.99 = 0000012399

- For each withhold record, the Financial Institution pulls payment up to “Amount Owed” from account, updates the “Amount Withdrawn” field within the record and sends the “Payments File” back to DOR.

Filename: Payments_YYYYMMDD.txt (Ex. Payments_20100116.txt)

Location: “ToDOR” folder within the FIs specific folder on the SFT server